

P21017.P01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P21017

Total Pages

Inventor(s) or Application Identifier
Masaaki NAKASHIMATitle:IMAGING ELEMENT FOR ELECTRONIC
ENDOSCOPES AND ELECTRONIC ENDSCOPE
EQUIPPED WITH THE IMAGING ELEMENT

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- a. ☐ Small Entity
Statement(s)
3. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 10]
- ☒ Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 5b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)/PTO-1449
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 1
17. ☒ Foreign priority claimed
- a. ☒ Claim of Priority
- b. ☒ Certified Copy of Priority Document(s)
18. ☒ Assignee: ASAHI KOGAKU KOGYO KABUSHIKI
KAISHA of Tokyo, JAPAN
19. ☒ Other: Cover Letter Regarding Executed Application

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____.

Prior application information: Examiner: _____ Group Art Unit: _____

21. ☐ Amend the specification by inserting before the first line the sentence:This application is a continuation-in-part, continuation, divisional, of Application No. _____/_____, filed _____.Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Date



07055


PATENT TRADEMARK OFFICE

Leslie J. Bernstein
Signature
33,329

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

FEE TRANSMITTAL		Complete if Known	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	M. NAKASHIMA
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
TOTAL AMOUNT OF PAYMENT	(\$) 750.00	Attorney Docket Number	P21017

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION (fees effective 12/29/99)</p> <p>1. FILING FEE</p> <table style="width:100%; border-collapse: collapse;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td><u>710</u></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td><u> </u></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td><u> </u></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td><u> </u></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td><u> </u></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1) (\$)</td><td><u>710</u></td></tr> </table> <p>2. CLAIMS</p> <table style="width:100%; border-collapse: collapse;"> <tr> <th>Total Claims</th> <th>Independent Claims</th> <th>Multiple Dependent Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td><u>17</u></td> <td><u>-20=</u></td> <td><u>0</u></td> <td><u>x</u></td> <td><u>18</u></td> <td><u>= 0</u></td> </tr> <tr> <td><u>2</u></td> <td><u>-3=</u></td> <td><u>0</u></td> <td><u>x</u></td> <td><u>80</u></td> <td><u>= 0</u></td> </tr> <tr> <td colspan="5"></td> <td><u>0</u></td> </tr> </table> <table style="width:100%; border-collapse: collapse;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$)</td><td><u>0.00</u></td></tr> </table>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	<u>710</u>	106	320	206	160	Design filing fee	<u> </u>	107	490	207	245	Plant filing fee	<u> </u>	108	710	208	355	Reissue filing fee	<u> </u>	114	150	214	75	Provisional filing fee	<u> </u>	SUBTOTAL (1) (\$)					<u>710</u>	Total Claims	Independent Claims	Multiple Dependent Claims	Extra	Fee from below	Fee Paid	<u>17</u>	<u>-20=</u>	<u>0</u>	<u>x</u>	<u>18</u>	<u>= 0</u>	<u>2</u>	<u>-3=</u>	<u>0</u>	<u>x</u>	<u>80</u>	<u>= 0</u>						<u>0</u>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple dependent claim		109	80	209	40	Reissue independent claims over original patent		110	18	210	9	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)					<u>0.00</u>	<p>3. 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146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	<u> </u>																																																																																																																																																																																																																																																																																																								
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	<u> </u>																																																																																																																																																																																																																																																																																																								
179	710	279	355	Request for Continued Examination (RCE)	<u> </u>																																																																																																																																																																																																																																																																																																								
169	900	169	900	Request for expedited examination of a design application	<u> </u>																																																																																																																																																																																																																																																																																																								
Other fee (specify) _____					<u> </u>																																																																																																																																																																																																																																																																																																								
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SUBTOTAL (3) (\$)					<u>40</u>																																																																																																																																																																																																																																																																																																								

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	29,027
Signature				Date	8/24/01
		33,329		Deposit Account User ID	

P21017.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Masaaki NAKASHIMA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : IMAGING ELEMENT FOR ELECTRONIC ENDOSCOPES AND
ELECTRONIC ENDOSCOPE EQUIPPED WITH THE IMAGING ELEMENT

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

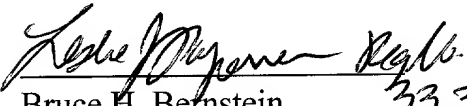
The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on August 20, 2001, and pages 1 - 39 of the specification (with Figures 1 - 10) which were earlier forwarded to the undersigned by Applicant's Japanese representative on August 17, 2001.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed, Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

P21017.A01

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Masaaki NAKASHIMA


Bruce H. Bernstein *Reg. No. 33,329*
Reg. No. 29,027

August 20, 2001
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